

# 2025 BUPT Summer School - Course III

## Regularity Methods and its Applications

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### Lecture 2 The regularity method and the blowup lemma

In Lecture 1 we use an embedding scheme for proving the  $K_3$ -counting lemma. If we do the embedding a bit more carefully, then we can embed a subgraph of small linear size.

**Lemma 2.1** (Graph embedding lemma). *For any  $\Delta, n, m \in \mathbb{N}$ , let  $\varepsilon < \frac{(d-\varepsilon)\Delta}{\Delta+2}$  and  $m \leq \varepsilon n$ . Suppose that  $G$  is a graph satisfying  $v(G) = V_1 \cup \dots \cup V_r$  with  $|V_i| \geq n$  for  $i \in [r]$  and  $(V_i, V_j)$  is  $(\varepsilon, d')$ -regular, where  $d' \geq d$  and  $i \neq j \in [r]$ . Let  $H$  be an  $h$ -vertex  $r$ -partite graph with partition  $X_1 \cup \dots \cup X_r$  with maximum degree  $\Delta$  and  $|X_i| \leq m$  for  $i \in [r]$ . Then  $H \subseteq G$ .*

*Proof.* Let  $v(H) = \{x_1, \dots, x_h\}$ , and let  $\phi(i) \in [r]$  such that  $x_i \in X_{\phi(i)}$ . We will construct an embedding  $f$  by defining  $V_1 = f(x_1), V_2 = f(x_2), \dots, v_h = f(x_h)$ . Denote  $C_i(j)$  to be the set of possible candidates of  $v_j$  after we determine  $v_1, v_2, \dots, v_{i-1}$ .

Now we embed  $X_i$  to  $V_i$ . Initially,  $C_i(j) = V_{\phi(j)}$ . Suppose that we have determined  $v_1, v_2, \dots, v_{i-1}$  and we have  $|C_i(j)| \geq (\Delta + 1)\varepsilon n$  for all  $j \geq i$ . Then we need to select  $v_i$  from  $C_i(i)$ . Consider  $A = \{x_j \in N_H(x_i) : j > i\} = \{x_{s_1}, \dots, x_{s_p}\}$ ,  $p \leq \Delta$ . Since  $(V_{\phi(i)}, V_{\phi(s_\ell)})$  is  $(\varepsilon, d')$ -regular for  $\ell \in [p]$ , all but at most  $\varepsilon n$  vertices in  $C_i(i)$  have at least  $(d - \varepsilon)|C_i(s_\ell)|$  neighbors in  $C_i(s_\ell)$  for  $\ell \in [p]$ . Thus, there exist at least  $|C_i(i)| - \Delta\varepsilon n$  vertices in  $C_i(i)$  have at least  $(d - \varepsilon)|C_i(s_\ell)|$  neighbors in  $C_i(s_\ell)$  for  $\ell \in [p]$ . Among them, at most  $m - 1 \leq \varepsilon n - 1$  vertices are in  $\{v_1, v_2, \dots, v_{i-1}\}$ . Then we can choose one vertex as  $v_i \notin \{v_1, v_2, \dots, v_{i-1}\}$  that has at least  $(d - \varepsilon)|C_i(s_\ell)|$  neighbors in  $C_i(s_\ell)$  for  $\ell \in [p]$ .

Let  $v_i = f(x_i)$ . Next, we will make the following update. Let

$$C_{i+1}(j) = \begin{cases} C_i(j) \cap N_G(v_i) & \text{if } x_j \sim x_i, \\ C_i(j) & \text{if } x_j \not\sim x_i. \end{cases}$$

Since  $x_j$  has at most  $\Delta$  neighbors,  $|C_{i+1}(j)| \geq n(d - \varepsilon)^\Delta \geq (\Delta + 1)\varepsilon n$  throughout the process. Thus, we can always choose  $v_i$  for  $i \leq h$ . Then we obtain an embedding of  $H \subseteq G$  satisfying the partition.  $\square$

## Application: Ramsey Theory

Given a graph  $H$ , let  $r(H) = \min n$  such that for all edge-coloring of  $K_n$  contains a monochromatic copy of  $H$ .

**Theorem 2.2** (Chvátal - Rödl - Szemerédi - Trotter). *Fix  $\Delta$  and let  $H$  be a graph with  $\Delta(H) \leq \Delta$ . Then there exists  $c = c(\Delta)$  such that  $r(H) \leq c|H|$ .*

*Proof.* Let  $k = r(K_{\Delta+1})$ . Take  $\varepsilon = \frac{1}{2^{\Delta+1}k}$ ,  $t = \Delta + 1$ . Assume that  $N = N(\varepsilon, t)$ ,  $T = T(\varepsilon, t)$  as defined in regularity lemma. Let  $c = c(\Delta) = \max\{3T/\varepsilon, N\}$ . Take  $n > c|H| = 3T|H|/\varepsilon$ . Next, we need to show that every 2-edge-coloring of  $E(K_n)$  contains a monochromatic copy of  $H$ .

Let  $G$  be the red graph. Applying the regularity lemma to  $G$  with  $\varepsilon, t$ , we can obtain an  $\varepsilon$ -regular partition  $V_0 \cup V_1 \cup \dots \cup V_r$  for  $G$  with  $|V_i| \geq (1 - \varepsilon)\frac{n}{r} \geq \frac{2|H|}{\varepsilon}$  for  $i \in [r], t \leq r \leq T$ . Consider a graph  $R$  on  $[r]$  such that  $ij \in E(R)$  if and only if  $(V_i, V_j)$  is  $\varepsilon$ -regular. Then

$$|E(R)| \geq \binom{r}{2} - \varepsilon r^2 \geq (1 - 3\varepsilon) \binom{r}{2} > (1 - \frac{1}{k-1}) \binom{r}{2}.$$

By Turán's theorem,  $R$  contains a copy of  $K_k$ .

Now color the edges of  $K_k$  in the following way: color  $ij$  red if  $d(V_i, V_j)$  has red density  $\geq \frac{1}{2}$ , and color blue otherwise. (Note that all pairs are  $\varepsilon$ -regular.) By the definition of  $k = r(K_{\Delta+1})$ , there exists a monochromatic copy of  $K_{\Delta+1}$  in this  $K_k$ . Then,  $V_1, V_2, \dots, V_{\Delta+1}$  are red(or blue) regular  $(\Delta + 1)$ -tuple and the pair  $(V_i, V_j)$  is  $\varepsilon$ -regular for  $i, j \in [\Delta + 1]$ . Now we define graph  $G'$  as the red(or blue) graph on  $V_1, \dots, V_{\Delta+1}$ . Then  $G'$  is a  $(\Delta + 1)$ -partite graph on  $V_1, \dots, V_{\Delta+1}$  such that  $(V_i, V_j)$  is  $(\varepsilon, d)$ -regular with  $d \geq \frac{1}{2}$  and  $|H| \leq \varepsilon|V_i|/2$ . Apply the Graph embedding lemma to  $G'$  with  $d = \frac{1}{2}$  and  $m = |H|$ , we can find a copy of  $H$  in  $G'$ , which gives a monochromatic copy of  $H$ . Thus, there exists  $c = c(\Delta)$  such that  $r(H) \leq c|H|$ .  $\square$

Question: What about embedding large subgraphs or spanning subgraphs?

**Definition 2.3.** *Let  $G$  be a graph. A disjoint pair  $(A, B)$  of vertices is  $(\varepsilon, d)$ -super-regular if it's  $\varepsilon$ -regular,  $d(A, B) \geq d$  and  $d(a, B) \geq (d - \varepsilon)|B|, d(b, A) \geq (d - \varepsilon)|A|$  for all  $a \in A, b \in B$ .*

**Lemma 2.4.** *Let  $2\varepsilon \leq d \leq 1$  and  $n \geq 2/\varepsilon$ . Let  $G$  be a graph. If  $(A, B)$  is  $(\varepsilon, d)$ -super-regular in  $G$  with  $|A| = |B| = n$ , then  $G[A, B]$  contains a perfect matching.*

**Theorem 2.5** (Blow-up lemma (Komlós-Sárközy-Szemerédi)). *Let  $0 < \frac{1}{n} \ll \varepsilon \ll \frac{1}{r}, d_0, \frac{1}{\Delta} \leq 1$ . Suppose that  $H$  is an  $n$ -vertex graph satisfying vertex partition  $X_1 \cup \dots \cup X_r$  with  $\Delta(H) \leq \Delta$ . Let  $G$  be a graph with partition  $V_1 \cup \dots \cup V_r$  such that  $|V_i| = |X_i| = n$  and  $(V_i, V_j)$  is  $(\varepsilon, d')$ -super-regular for  $d' \geq d$ . Then we can embed  $H$  into  $G$  such that  $\phi(X_i) = V_i$ .*

**Remark 2.6.**

On the proof of the Blow-up lemma:

- The proof of the embedding lemma (greedy embedding) can embed an  $\varepsilon$ -proportion of vertices.
- A careful randomized embedding (random greedy embedding) can embed an  $(1 - \varepsilon)$ -proportion of vertices, succeeding with high probability.
- If we run the randomized embedding carefully, we can apply Hall-type result for the remaining vertices and obtain full embedding (Blow-up lemma).

**Exercise 2.7.** Suppose  $\varepsilon \ll d \leq 1$ . If  $(A, B)$  is  $(\varepsilon, d)$ -regular in  $G$ , then there exist  $A' \subseteq A$ ,  $B' \subseteq B$  such that  $|A'| \geq (1 - \varepsilon)|A|$ ,  $|B'| \geq (1 - \varepsilon)|B|$  and  $(A', B')$  is  $(2\varepsilon, d)$ -super-regular in  $G$ .

**Moving to hypergraph regularity**

One of the main motivation of the hypergraph regularity is to understand/derive the hypergraph removal lemma. Recall that for  $k \geq 2$ , a  $k$ -uniform hypergraph  $H$  is a pair of  $(V, E)$ , where  $V$  is a vertex set and  $E$  is a family of  $k$ -element subsets of  $V$ . For the convenience, we usually use  $k$ -graph to denote the  $k$ -uniform hypergraph.

**Lemma 2.8** (Hypergraph removal lemma). *For every  $r$ -graph  $H$  and  $\varepsilon > 0$ , there exists  $\delta > 0$  such that every  $n$ -vertex  $r$ -graph with  $< \delta n^{v(H)}$  copies of  $H$  can be made  $H$ -free by removing  $< \varepsilon n^r$  edges.*

Next we will give the following result, which is a corollary of the tetrahedron removal lemma.

**Corollary 2.9.** *If  $G$  is a 3-graph such that every edge is contained in a unique tetrahedron (i.e., a clique on four vertices), then  $e(G) = o(n^3)$ .*

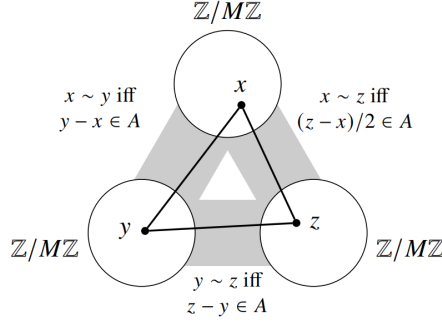
Now let's prove Roth's theorem and Szemerédi's Theorem for 4-AP, the application of triangle removal lemma. We write 3-AP for 3-term arithmetic progression. We say that  $A$  is 3-AP-free if there are no  $x, x + y, x + 2y \in A$  with  $y \neq 0$ .

**Theorem 2.10** (Roth's theorem). *Let  $A \subseteq [N]$  be 3-AP-free. Then  $|A| = o(N)$ .*

*Proof.* Embed  $A \subseteq \mathbb{Z}/M\mathbb{Z}$  with  $M = 2N + 1$  (to avoid wraparounds). Since  $A$  is 3-AP-free in  $\mathbb{Z}$ , it is 3-AP-free in  $\mathbb{Z}/M\mathbb{Z}$  as well.

Now, we construct a tripartite graph  $G$  whose parts  $X, Y, Z$  are all copies of  $\mathbb{Z}/M\mathbb{Z}$ . The edges of the graph are (since  $M$  is odd, we are allowed to divide by 2 in  $\mathbb{Z}/M\mathbb{Z}$ ):

- $(x, y) \in X \times Y$  whenever  $y - x \in A$ ;
- $(y, z) \in Y \times Z$  whenever  $z - y \in A$ ;
- $(x, z) \in X \times Z$  whenever  $(z - x)/2 \in A$ .



In this graph,  $(x, y, z) \in X \times Y \times Z$  is a triangle if and only if

$$y - x, \frac{z - x}{2}, z - y \in A.$$

The graph was designed so that the above three numbers form an arithmetic progression in the listed order. Since  $A$  is 3-AP-free, these three numbers must all be equal. So, every edge of  $G$  lies in a unique triangle, formed by setting the three numbers above to be equal.

The graph  $G$  has exactly  $3M = 6N + 3$  vertices and  $3M|A|$  edges. As every edge lies in a unique triangle,  $G$  has exactly  $3M|A|/3 = M|A| = o(M^3)$  triangles, and the triangle removal lemma says that  $G$  can be made triangle-free by removing  $o(M^2)$  edges. However, as every edge of  $G$  is in a unique triangle, removing any edge destroys at most one triangle. That is, to make  $G$  triangle-free, one has to remove at least  $M|A|$  edges. Therefore, we have  $M|A| = o(M^2)$ , yielding  $|A| = o(M) = o(N)$  and we are done.  $\square$

In fact, Roth's theorem is the first case of a famous result known as Szemerédi's theorem.

**Theorem 2.11** (Szemerédi's theorem). *For every fixed  $k \geq 3$ , every  $k$ -AP-free subset of  $[N]$  has size  $o(N)$ .*

**Proof of Szemerédi's theorem for 4-AP.** Let  $A \subseteq [N]$  be 4-AP-free. Let  $M = 6N + 1$ . Then  $A$  is also a 4-AP-free subset in  $\mathbb{Z}/M\mathbb{Z}$ . Build a 4-partite 3-graph  $G$  with parts  $W, X, Y, Z$ , all of which are copies of  $[M]$ . Define edges of  $G$  as follows, where  $w, x, y, z$  range over elements of  $W, X, Y, Z$ , respectively:

$$wxy \in E(G) \iff 3w + 2x + y \in A,$$

$$wxz \in E(G) \iff 2w + x - z \in A,$$

$$wyz \in E(G) \iff w - y - 2z \in A,$$

$$xyz \in E(G) \iff -x - 2y - 3z \in A.$$

What is important here is that the  $i$ th expression does not contain the  $i$ th variable.

The vertices  $xyzw$  form a tetrahedron if and only if

$$3w + 2x + y, 2w + x - z, w - y - 2z, -x - 2y - 3z \in A.$$

However, these values form a 4-AP with common difference  $-x - y - z - w$ . Since  $A$  is 4-AP-free, the only tetrahedra in  $A$  are trivial 4-APs (those with common difference zero). For each triple  $(w, x, y) \in W \times X \times Y$ , there is exactly one  $z \in \mathbb{Z}/M\mathbb{Z}$  such that  $x + y + z + w = 0$ . Thus, every edge of the hypergraph lies in exactly one tetrahedron.

By Corollary 2.9, the number of edges in the hypergraph is  $o(M^3)$ . On the other hand, the number of edges is exactly  $4M^2|A|$  (for example, for every  $a \in A$ , there are exactly  $M^2$  triples  $(w, x, y) \in (\mathbb{Z}/M\mathbb{Z})^3$  with  $3w + 2x + y = a$ ). Therefore  $|A| = o(M) = o(N)$ .  $\square$